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Date of Deposit: March 30, 2004

Our Case No. 10921/27
Cook File No. PA-5145-CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|------------------------------|---|-------------------------------|
| In re Application of: |) | |
| |) | |
| KLINT et al. |) | |
| |) | Previously-Assigned Examiner: |
| Serial No.: Not Yet Assigned |) | Woo, Julian W. |
| |) | |
| Filing Date: Herewith |) | Previously-Assigned |
| |) | Group Art Unit: 3731 |
| For: AN EMBOLIZATION METHOD |) | |
| FOR ENDOVASCULAR |) | |
| OCCCLUSION |) | |

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record.

The references now cited are the following:

| DOCUMENT NUMBER | DATE | NAME |
|--------------------|---------|------------------|
| 4,994,069 | 02/1991 | Ritchart et al. |
| 5,054,501 | 10/1991 | Chuttani et al. |
| 5,122,136 | 06/1992 | Guglielmi et al. |
| 5,122,154 | 06/1992 | Rhodes |
| 5,167,624 | 12/1992 | Butler et al. |
| 5,217,484 | 06/1993 | Marks |
| 5,256,146 | 10/1993 | Ensminger et al. |
| 5,540,701 | 07/1996 | Sharkey et al. |
| 5,669,931 | 09/1997 | Kupiecki et al. |
| 5,895,398 | 04/1999 | Wensel et al. |

FOREIGN PATENT DOCUMENTS

| DOCUMENT NUMBER <small>Number-Kind Code (If known)</small> | DATE | COUNTRY |
|--|---------|---------|
| 29518932 | 01/1996 | Germany |
| 3203410 | 11/1982 | Germany |
| 0121447 | 10/1984 | EPO |
| 0717969 | 06/1996 | EPO |
| 0720838 | 07/1996 | EPO |
| 0734697 | 10/1996 | EPO |
| 1777842 | 11/1992 | Russia |
| 9406502 | 03/1994 | WIPO |
| 9406503 | 03/1994 | WIPO |
| 9407560 | 04/1994 | WIPO |
| 9411051 | 05/1994 | WIPO |
| 9525480 | 09/1995 | WIPO |
| 9600104 | 01/1996 | WIPO |
| 918343 | 06/1996 | WIPO |

OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

"Detachable Embolization Coils," Cook Incorporated 1996.

"Tornado Embolization Microcoil™," Cook Incorporated 1995.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This application is a divisional application of U.S. Serial No. 08/923,061, filed September 3, 1997 and is relied upon for an earlier filing dated under 35 U.S.C. § 120. In accordance with Rule 37 C.F.R. § 1.98(d), copies of the cited references are not enclosed herewith since they were previously cited and submitted to the Patent and Trademark Office in the prior application.

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to

these materials the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925.

Applicants respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,



Richard E. Stanley
Registration No. 45,662
Attorney for Applicants

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Chicago, IL 60610
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|---|--------------------------------|------------------------------------|
| FORM PTO-1449 | SERIAL NO. Not Yet Assigned | CASE NO. 10921/27 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE Herewith | GROUP ART UNIT Not Yet Assigned |
| APPLICANTS: KLINT et al. | | |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|-----|--------------------|---------|------------------|--------------------|----------------|
| | A1 | 4,994,069 | 02/1991 | Ritchart et al. | | |
| | A2 | 5,054,501 | 10/1991 | Chuttani et al. | | |
| | A3 | 5,122,136 | 06/1992 | Guglielmi et al. | | |
| | A4 | 5,122,154 | 06/1992 | Rhodes | | |
| | A5 | 5,167,624 | 12/1992 | Butler et al. | | |
| | A6 | 5,217,484 | 06/1993 | Marks | | |
| | A7 | 5,256,146 | 10/1993 | Ensminger et al. | | |
| | A8 | 5,540,701 | 07/1996 | Sharkey et al. | | |
| | A9 | 5,669,931 | 09/1997 | Kupiecki et al. | | |
| | A10 | 5,895,398 | 04/1999 | Wensel et al. | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
|---------------------|-----|--|---------|---------|--------------------|--------------------------|
| | A11 | 29518932 | 01/1996 | Germany | | |
| | A12 | 3203410 | 11/1982 | Germany | | |
| | A13 | 0121447 | 10/1984 | EPO | | |
| | A14 | 0717969 | 06/1996 | EPO | | |
| | A15 | 0720838 | 07/1996 | EPO | | |
| | A16 | 0734697 | 10/1996 | EPO | | |
| | A17 | 1777842 | 11/1992 | Russia | | |
| | A18 | 9406502 | 03/1994 | WIPO | | |
| | A19 | 9406503 | 03/1994 | WIPO | | |
| | A20 | 9407560 | 04/1994 | WIPO | | |
| | A21 | 9411051 | 05/1994 | WIPO | | |
| | A22 | 9525480 | 09/1995 | WIPO | | |
| | A23 | 9600104 | 01/1996 | WIPO | | |
| | A24 | 9618343 | 06/1996 | WIPO | | |

| EXAMINER INITIAL | OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small> | |
|---------------------|--|---|
| | A25 | "Detachable Embolization Coils," Cook Incorporated 1996. |
| | A26 | "Tornado Embolization Microcoils™," Cook Incorporated 1995. |

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| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.